OIPE Serial No. 10/790434



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Inventor(s):

Xiang Liu

Yikai Su Xing Wei

Case:

27-5-18

Serial No.:

10/790434

Filing Date:

February 27, 2004

Examiner:

Group Art Unit:

Title:

Optical Communication Method And Apparatus

COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

SIR:

UNDER 37 CFR 1.97(b)

In accordance with 37 CFR 1.97(b), the enclosed Information Disclosure Statement, with attached reference(s), is submitted for consideration in the above-identified application.

Copies of the listed documents are enclosed.

NO FEE IS REQUIRED

In the event of any non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit Lucent Technologies Deposit Account No. 12-2325 as required to correct the error.

Respectfully,

David A. Sasso, Attorney

Reg. No. 43084

732-949-6559

Date:

Docket Administrator (Room 3J-219)

Lucent Technologies Inc. 101 Crawfords Corner Road Holmdel, NJ 07733-3030

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on _______ 6 8 0 4

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Sharon L. Lobosco

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FORMATION DISCLOSURE STATEMENT

Case Name. X. Liu 27-5-18
Serial No. 10/790434
Applicant: X. Liu, et al.
Filing Date: Feb. 27, 2004
Group:

U.S. PATENT DOCUMENTS

*Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date
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					-	

FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	Subclass	Translation
				•		

OTHER (including Author, Title, Date, Pertinent Pages, etc.)

AA	P. S. Cho et al., "Suppression Of Cross-Gain Modulation In SOA Using RZ-DPSK Modulation Format", <i>IEEE Photonics Technology Letters</i> , Vol. 15, No. 1, January 2003, pp 162-164
AB	K. Yiannopoulos et al., "Repetition Rate Upgrade For Optical Sources", <i>IEEE Photonics Technology Letters</i> , Vol. 15, No. 6, June 2003, pp 861-863
AC	X. Wei et al., Optical π /2-DPSK And Its Tolerance To Filtering And Polarization-Mode Dispersion", <i>IEEE Photonics Technology Letters</i> , Vol. 15, No. 11, November 2003, pp 1639-1641
AD	X. Liu et al., "Transmission of an ASK-Labeled RZ-DPSK Signal And Label Erasure Using A Saturated SOA", <i>IEEE Photonics Technology Letters</i> , Vol. 16, No. 6, June 2004, pp. 1594-1596
AE	X. Wei et al., "10-Gb/s RZ-DPSK Transmitter Using A Saturated SOA As A Power Booster And Limiting Amplifier", <i>IEEE Photonics Technology Letters</i> , Vol. 16, No. 6, June 2004, pp. 1582-1584

EXAMINER	DATE CONSIDERED

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant